

STATE CAPITOL
P.O. Box 110001
Juneau, AK 99811-0001
907-465-3500



550 West Seventh Avenue, Suite 1700
Anchorage, AK 99501
907-269-7450

Governor Michael J. Dunleavy
STATE OF ALASKA

May 31, 2019

The Honorable Patricia A. Seitz
Wilkie D. Ferguson, Jr. United States Courthouse
400 North Miami Avenue, Room 11-4
Miami, FL 33128

Dear Judge Seitz:

The State of Alaska writes to provide information that may be of assistance to the Court when considering the proposal currently pending to resolve the petition for revocation of probation in the matter of *U.S. v Princess Cruise Lines, Ltd.*

You may be unaware that Carnival Corporation & plc, the owner of Princess Cruises and several other brands that trade in Alaskan waters, is the largest tour company in Alaska. Collectively, the Carnival companies bring over 700,000 visitors to tour our pristine and unmatched wilderness areas as well as our vibrant, historic communities. There are real and important economic benefits to Alaska and our local communities that are difficult to overstate.

Obviously, cruise passengers spend money in the local economies. In addition, the ships provision in Alaska, yielding benefits to local food growers and producers. Perhaps the least intuitive, but most direct, impact is in the form of employment opportunities for thousands of people, whether at company-owned hotels and touring companies or through third parties that provide services to the ships, crews, and guests. These employees live here, pay taxes here, send their children to Alaskan schools, donate their time to community activities, and are an integral part of the fabric of coastal Alaska.

We are aware that environmental compliance is at the core of the matter pending before this court. Alaska imposes very strict standards on all industries that do business here, including the cruise industry. We feel that our regulatory engagement is tough but fair. From that perspective, we can assure the court that the Carnival companies put forward a tremendous amount of effort to abide by both the spirit and the letter of our statutes and regulations.

We understand that the Carnival group of companies has made mistakes. We also understand that the leadership of this company is prepared to appear before the court to accept responsibility for those lapses and to propose a way to move forward. We are not here to evaluate the merits of that proposal. We only ask that the Court take into consideration the positive and direct impact of this industry, and particularly this company, to our State and local communities as part of your deliberations.

Sincerely,

A handwritten signature in blue ink, appearing to read "mjdunleavy".

Michael J. Dunleavy
Governor



THE STATE
of **ALASKA**
GOVERNOR MICHAEL J. DUNLEAVY

Department of Law

OFFICE OF THE ATTORNEY GENERAL

1031 West Fourth Avenue, Suite 200
Anchorage, Alaska 99501
Main: (907) 269-5100
Fax: (907) 269-5110

May 31, 2019

SENT VIA U.S. MAIL AND EMAIL

The Honorable Patricia A. Seitz
United State District Court for the Southern District of Florida
Wilkie D. Ferguson, Jr. United States Courthouse
400 North Miami Avenue, Room 11-4
Miami, FL 33128
seitz@flsd.uscourts.gov

Re: *USA v. Princess Cruise Lines, Ltd.*

Dear Judge Seitz:

The State of Alaska has been following *United States of America v. Princess Cruise Lines, Ltd.*, and is aware that the defendant was arraigned on alleged probation violations. The parties have recently agreed on a proposed joint resolution, and the Court is holding a hearing to discuss the proposed resolution and determine whether a contested hearing remains necessary. While that might resolve the probation violation issues, in the event contested issues are in fact taken up at the hearing, Alaska wishes to apprise the Court of the grave economic impacts to Alaska's communities of any prohibition of Carnival Corporation from docking in Alaska ports.

Media outlets have reported that the Court has considered, alongside revocation of probation, a temporary ban on Carnival vessels from docking its ships at U.S. ports.¹ Alaska wholly understands the seriousness of the allegations—some stem from significant discharges of pollution into Alaska waters—and Alaska feels strongly that cruise ship operators must comply with state and federal environmental laws. However, Alaska asks that the Court consider the collateral impacts that a temporary ban would have on Alaska communities that are blameless in this matter. The cruise ship industry plays a vital role in the economy of Alaska and its coastal communities, and even a temporary ban on Carnival vessels would have deleterious impacts. Alaska respectfully requests that the Court forego implementing any ban on Carnival when assessing penalties.

¹ See, e.g., Taylor Dolven, *Federal judge threatens to temporarily block Carnival ships from docking at U.S. ports*, Miami Herald, April 16, 2019, available at <https://www.miamiherald.com/news/business/tourism-cruises/article229069589.html>.

The Honorable Patricia A. Seitz
Re: *USA v. Princess Cruise Lines, Ltd.*

May 31, 2019
Page 2 of 3

Alaska's visitor industry drives the economy of many of its communities, and, statewide, it remains one of Alaska's primary growing economic sectors.² Cruise lines play a predominant role in this industry. Of the 2.2 million visitors that travelled to Alaska in 2017, 49 percent travelled by cruise ship.³ During this same period, revenue earned by payments from cruise lines accounted for 27 percent of Alaska's "revenues resulting from out-of-state visitors"—the largest share of all visitor-related revenues.⁴ The reach of the cruise ship industry in Alaska is ubiquitous, significantly impacting jobs, labor income, and economic output in the state. A ban on Carnival vessels—which comprise the majority of cruise ships in Alaska waters—would be a major blow to the state's economy. Such a prohibition would remain disruptive even if it was only temporary as Alaska's visitor economy relies on a short summer season.⁵

At a local level, where the impact of and reliance on the cruise ship industry is even more striking, a ban on Carnival vessels would be devastating. Alaska's coastal communities are largely remote—with access only by air or sea. The ability of cruise lines to bring visitors to these areas is vital to their economies. For example, in the City of Ketchikan, tourism (and particularly cruise ships) has replaced timber as the leading industry. Of Ketchikan's 2019 projected sales tax revenue of \$12.5 million, approximately \$4 million is estimated to be directly or indirectly attributable to tourism. Further, of the 1.2 million cruise ship passengers expected to arrive this year in Ketchikan (a community of just over 8,000 year-round residents), Carnival will account for 56 percent of these visitors. In the City of Skagway, "[t]he travel industry is the most important segment of Skagway's economy, providing business opportunities, employment and government revenue for the City . . . virtually no other basic economic sectors exist."⁶ Cruise ships almost make up the entirety of the travel industry's presence in Skagway, composing 1.3 of the approximately 1.4 million seasonal visitors in 2018.⁷ Even in the larger City of Juneau—

² McDowell Group, *Economic Impact of Alaska's Visitor Industry 2017*, at 21 (November 2018), available at https://www.commerce.alaska.gov/web/Portals/6/pub/TourismResearch/VisitorImpacts2016-17Report11_2_18.pdf?ver=2018-11-14-120855-690.

³ *Id.* at 8.

⁴ *See id.* at 15 (providing that state revenues resulting from out-of-state visitors totaled \$125.6 million and revenues resulting from cruise ship payments totaled \$33.3 million).

⁵ *See id.* at 8 (explaining that "[t]he [2017] summer (May-September) market represented 86 percent of all visitors . . .").

⁶ Southeast Strategies & Dean Runyan Associates, *Skagway Economic Impact Study*, at 5 (February 23, 2000), available at http://www.deanrunyan.com/doc_library/SkagwayImp2000.pdf.

⁷ *See* James A. Van Altvorst, Van Altvorst & Associates, *A Review: 2019 Cruise Ship Presence Skagway, Alaska, A Report Prepared for Municipality of Skagway*, at 7 (April 8, 2019) (providing graph that depicts seasonal visitor statistics for 2018), available at https://www.skagway.org/sites/default/files/fileattachments/clerk039s_office/page/28411/2019_skg_cpv_update_2019_04_08_1.pdf.

The Honorable Patricia A. Seitz
Re: *USA v. Princess Cruise Lines, Ltd.*

May 31, 2019
Page 3 of 3

Alaska's capital—the visitor industry accounts for \$13.5 million in municipal tax revenues, \$109 million in labor income, and 12 percent of jobs.⁸ Cruise ships in turn account for over 90 percent of visitor volume in Juneau.⁹ These and many other Alaska communities are invested in the ongoing ability of cruise lines to provide access for visitors. Banning Carnival vessels from Alaska's ports would result in lasting, potentially unrecoverable damage.

To be clear, Alaska agrees that any cruise ship operator must comply with state and federal environmental laws and needs to be held accountable for noncompliance. However, Alaska respectfully requests that the Court consider the potential collateral economic outfall on Alaska and its local communities when deciding what penalties are appropriate in this matter. Alaska is confident that a punishment could be imposed that avoids the unnecessarily harmful impact that would result from banning Carnival vessels from U.S. ports. Thank you for your consideration.

Sincerely,



Kevin G. Clarkson
Attorney General

cc: Eric Knoll Lowney, Smith & Lowney, PLLC
Stephen Sean Stallings, The Law Offices of Stephen S. Stallings, Esq.
Sanford Lewis Bohrer, Holland & Knight
Scott Daniel Ponce, Holland & Knight
Paul T. Bagley, Foreman Friedman, P.A.
Brendan Herrmann, Dechert LLP
Conrad A. Johnson, Dechert LLP
David N. Kelley, Dechert LLP
Catherine J. MacIvor, Foreman Friedman PA
David Oscar Markus, Markus/Moss PLLC
Benjamin E. Rosenberg, Dechert LLP
Thomas Austin Watts-Fitzgerald, United States Attorney's Office
Richard A. Udell, United States Attorney's Office

⁸ McDowell Group, *Juneau Visitor Profile and Impacts*, at 5 (March 21, 2019).

⁹ *Id.* at 31.

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EDUCATION AND THE WORKFORCE
SUBCOMMITTEES
EARLY CHILDHOOD, ELEMENTARY, AND
SECONDARY EDUCATION
HEALTH, EMPLOYMENT, LABOR, AND PENSIONS



FREDERICA S. WILSON
CONGRESS OF THE UNITED STATES
24TH DISTRICT, FLORIDA

COMMITTEE ON
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SUBCOMMITTEES
HIGHWAYS AND TRANSIT
RAILROADS, PIPELINES AND HAZARDOUS MATERIALS
WATER RESOURCES AND ENVIRONMENT

May 31, 2019

Honorable Patricia A. Seitz
Wilkie D. Ferguson, Jr. United States Courthouse
400 North Miami Avenue, Room 11-4
Miami, Florida 33128

Dear Judge Seitz:

I serve as Chairperson of the Florida Congressional Ports Caucus. In that position, I vigorously advocate for all of the ports in Florida, but especially PortMiami, the "Cruise Capital of the World". I believe that I speak with personal knowledge of the cruise industry operations and its leadership, and wanted to share with you some thoughts on Carnival Corporation.

Carnival's economic impact to Florida is well known \$3.4 billion in direct spending, and 75,000 jobs, but not so well known are the incredible corporate stewardship and charitable contributions that Carnival has made to the life of the Miami citizens and community through the arts, education, environmental organizations, and beyond. The leaders of Carnival make a daily difference. The company also walks the walk and was recently honored by Forbes as one of America's Best Employers for Diversity for their outstanding commitment to diversity and inclusion.

As a former educator in Miami, I wanted to share an example about an important charity with which I am intimately familiar, *The 5000 Role Models of Excellence Project*, a dropout prevention, mentoring program committed to guiding minority male students along a carefully charted path through grades K-12 and college and increasing their employability in higher wage, high skills jobs within high demand industries. In January the group inducted Arnold Donald, President and CEO of Carnival Corporation, as an honorary role model for his many contributions to the community.

I hope that sharing my confidence and thoughts about Carnival's leadership in all fields is helpful to you.

Sincerely,

Frederica S. Wilson
Member of Congress

WASHINGTON, DC OFFICE
2445 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515
(202) 225-4506
FAX: (202) 226-0777

MIAMI GARDENS OFFICE
18425 NW 2ND AVENUE
SUITE #355
MIAMI GARDENS, FL 33169
(305) 690-5905

WEST PARK OFFICE
WEST PARK CITY HALL
1965 SOUTH STATE ROAD 7
WEST PARK, FL 33023
(954) 989-2688

HOLLYWOOD OFFICE
2600 HOLLYWOOD BOULEVARD
OLD LIBRARY, 1ST FLOOR
HOLLYWOOD, FL 33020
(954) 921-3682



May 31, 2019

The Honorable Patricia A. Seitz
Wilkie D. Ferguson, Jr. United States Courthouse
400 North Miami Avenue
Room 11-4
Miami, Florida 33128

Dear Judge Seitz:

As the voice of South Florida business in the global marketplace, we respectfully lend our support to one of our longest-tenured members: Carnival Corporation. Shortly after their incorporation, Carnival joined our Chamber and has remained one of our most engaged members for more than 40 years. Carnival is a critical Florida economic driver; it provides \$3.4 billion in direct spending, creates 75,000 jobs and delivers \$3.5 billion in employee wages and benefits to our citizens.

Carnival Corporation did not end their community engagement after joining our Chamber, in fact, the world's largest leisure company has only continued their great strides in the community. Some of these strides include, but are not limited to:

- Scholarship and Mentoring Program: More than 100 management team members mentor more than 100 students in Miami-Dade County high schools. Carnival has invested more than \$3.5 million on nearly 250 scholarships for program graduates since 2014.
- Big Brothers Big Sisters of Miami: In 2016, they celebrated the grand opening of the Carnival Center for Excellence through the largest corporate gift ever contributed to the Miami chapter. The center is the headquarters and provides facilities for BBBS Miami programming.
- Florida International University – Chaplin School of Hospitality and Tourism Management: Carnival renovated the school's multipurpose facility; provided more than \$1 million in scholarships; created a scholarship endowment, Carnival Bridge Scholars; and established the Carnival Gold Scholars program.

In addition to their community engagement, Carnival has been widely recognized for their corporate environment. Earlier this year, *Forbes* named Carnival one of "America's Best Employers for Diversity in 2019." Just last month, *Forbes* ranked Carnival Corporation as the No. 1 overall cruise company, the No. 6 overall in a broad sector that includes major hotel groups, resorts, travel firms, leisure entertainment locations and popular destinations, and the No. 84 overall company on their list of America's top 500 employers with over 5,000 employees.

The Greater Miami Chamber of Commerce expresses our support for Carnival Corporation and our gratitude for Carnival's economic and community impact. Thank you for your time and consideration. If I or our Chamber can be helpful to you, please let me know.

Sincerely,

A handwritten signature in blue ink, appearing to read "AS" or "Alfred Sanchez", written over a horizontal line.

Alfred Sanchez
President and CEO

Greater Miami Chamber of Commerce
1601 Biscayne Boulevard, Miami, FL 33132-1260
305-350-7700 • Fax 305-374-6902
www.MiamiChamber.com

U.S. TRAVEL
ASSOCIATION

May 31, 2019

Hon. Patricia A. Seitz
Wilkie D. Ferguson, Jr. United States Courthouse
400 North Miami Avenue
Room 11-4
Miami, Florida 33128

Dear Judge Seitz:

I am writing in reference to Carnival Corporation and its nine cruise brands—an integral component of the United States travel and tourism industry. The company's involvement with the U.S. Travel Association is vital to our mission of increasing travel to and within the United States. Carnival is a long-standing and active member of U.S. Travel, where together, we work to advance policies that positively impact this critical industry sector.

Additionally, in just the past year, Carnival has received recognition, honors and awards across numerous categories from outside entities for the company's good practices and business operations.

The travel and tourism industry is a significant contributor to the nation's economic success, and Carnival, without exception, plays a crucial role in continued growth. Last year alone, the travel industry generated \$2.5 trillion in economic output and supported 15.7 million American jobs.

Carnival is highly valued within the U.S. travel industry, and the company's contributions to the American economy and workforce cannot be overstated. Anything that helps the Carnival Corporation grow is a net positive for American jobs and prosperity.

Thank you for your consideration.

Sincerely,



Roger J. Dow
President and CEO



May 31, 2019

Hon. Patricia A. Seitz
Wilkie D. Ferguson, Jr. United States Courthouse
400 North Miami Avenue, Room 11-4
Miami, Florida 33128

Address
P.O. Box 12039
La Jolla, CA 92039

Administration
858.550.1070

Fax
858.550.1075

Patron Services
858.550.1010

Email
information@ljp.org

Website
LaJollaPlayhouse.org

Dear Judge Seitz,

As we enter into our 2019/2020 season, we remain grateful to Holland America Line for their previous support and leadership as a member of our Corporate Circle. Since 2008, they have supported La Jolla Playhouse through a variety of contributions: one free cruise for two to Mexico or the Caribbean to be used as an incentive for new gifts; a fully-hosted luncheon on-board to say thank you to volunteers, long-term donors and, most recently, new donors of a specific campaign; and a cash sponsorship of our Talkback Tuesday Discussion series.

San Diego's military community plays an important role in all of our lives and La Jolla Playhouse is proud to support our local active-duty service members, veterans and their families through our many Military Outreach Initiatives. We strive to enhance the military's connection to the greater San Diego community, while also enhancing their quality of life through meaningful social and cultural experiences. In 2019, the Playhouse positioned the on-board luncheon as incentive for patrons to give new gifts of \$100+ to our Military Outreach Initiatives. The results were phenomenal, increasing our \$100+ gifts from 2018 to 2019 seven-fold for a total of \$58,990 raised. Because of the successful campaign run with the Holland America Line Luncheon, we were able to:

1. Offer 500 complimentary tickets to military members and their families
2. Help couples make new memories together by producing Military Date Nights. The feedback we received was heartfelt, many thanking the Playhouse profusely for these lovely evenings, which help to make up for all the other special occasions missed together during the year because of deployments.
3. Provide live theatre to military kids by touring our play for young audiences (POP Tour) to military bases and schools. They are educated, entertained and enriched by our work in their classrooms.
4. Encourage veterans to tell their stories through the power of playwriting, through the Veterans Playwrighting Program. By participating in our workshops, veterans find community with others who understand. Once these plays are further developed, we will all benefit from seeing the world through their eyes.

In addition to Holland America Line's support of our military, they have graciously sponsored our Talkback Tuesday Discussion Series to engage audience members in conversations with the cast, discover how actors get into character and see where directors get their inspiration. In 2018-2019, 854 guests stayed to learn more about the art they've just enjoyed.

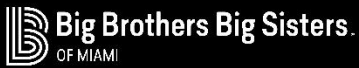
We sincerely thank Holland America Line for their commitment to—and investment in—La Jolla Playhouse, and we hope to have their continued support for our 2019/2020 season.

Best,


Debby Buchholz
Managing Director

CHRISTOPHER ASHLEY Artistic Director

DEBBY BUCHHOLZ Managing Director



TOGETHER, WE ARE IGNITING POTENTIAL

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May 31, 2019

Hon. Patricia A. Seitz
Wilkie D. Ferguson, Jr. United States Courthouse
400 North Miami Avenue
Room 11-4
Miami, Florida 33128

Dear Judge Seitz,

Big Brothers Big Sisters of Miami, Inc. (BBBSM), has partnered with Carnival Cruise Line and Carnival Corporation for well over 25 years, providing mentorship and scholarship opportunities for the at-risk and otherwise underserved/disadvantaged youth in our community. Through School to Work, BBBSM's workplace mentoring program that provides professional development and job shadowing to high school students, hundreds of Carnival employees have mentored program youth (Littles) every year.

One of these youth was Kiona. Mentored by Big Sister Taylor, a member of Carnival's marketing team, Kiona had never been in a professional environment before stepping foot into the Carnival offices. Even though she had a good relationship with her family, Kiona eagerly sought someone to look up to. Like all teens, particularly those in low-income households, Kiona went through some rough times; one afternoon, she stepped into the bathroom with no intention of coming out. However, thinking about Taylor (and her little cousin who was banging on the door), Kiona stepped out of the bathroom and continued to persevere. Having graduated from Booker T. Washington High School in 2018, she is currently enrolled in Alabama State University with a full scholarship from Carnival.

Kiona is not the only Carnival scholarship recipient. Carnival provides full college scholarships to graduating seniors who have been mentored by their employees, ensuring the future of our community receives the best possible education to break the cycle of poverty into which they were born and to best be able to contribute to the local economy long-term.

Carnival's partnership does not stop there. The company has provided BBBSM with a permanent home, the Carnival Center for Excellence. Having a four-story, 46,000-square-foot building has provided stability in our programs and allowed us to employ more than 60 employees annually in the social service and educational sector. The Carnival Center has also allowed us to grow and solidify our Group Engagement & Mentoring programs, serving even more youth in our community (those waiting to be matched with a mentor) in more ways. Carnival has also provided funding for these programs, allowing employee volunteers to interact with our youth in various community service projects, such as beach cleanups.



BIG BROTHERS BIG SISTERS OF MIAMI
CARNIVAL CENTER FOR EXCELLENCE
550 NW 42ND AVE. MIAMI, FL 33126
WWW.BBBSMIAMI.ORG



@BBBSMiami

Main: 305.644.0066
Fax: 786.536.6242





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RICHARD C. WOLFE
Wolfe Law Miami
DEBBIE YOUNG
Kaufman, Rossin & Co. P.A.

Additionally, Carnival has provided unrestricted funding through presenting sponsorships of our annual BIG Event gala for several years in a row.

Because Carnival is one of our largest supporters, not having Carnival port in Miami would have devastating effects on our programs. Not only would hundreds of high school students every year not have a mentor, but the loss of funding would hurt all program operations, both for one-to-one matching and Group Engagement & Mentoring, affecting more than 2,100 members of Miami's most vulnerable population, low-income youth of color. Additionally, Carnival has proven valuable in creating additional community partnerships, including one with the Miami Heat, so losing Carnival's presence in Miami could result in losing other partner support.

In summary, Carnival is a vital partner to not only BBBSM, but to the overall Miami community – both its present and its future. Investing in Miami's youth, Carnival is a major stakeholder in Miami's future. We will be forever grateful for the incredible impact that Carnival's partnership has on our organization, the youth we serve, and our community overall.

In Service,

Gale S. Nelson
President & CEO



BIG BROTHERS BIG SISTERS OF MIAMI
CARNIVAL CENTER FOR EXCELLENCE
550 NW 42ND AVE. MIAMI, FL 33126
WWW.BBBSMIAMI.ORG



@BBBSMiami

Main: 305.644.0066
Fax: 786.536.6242





May 31, 2019

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Hon. Patricia A. Seitz

Wilkie D. Ferguson, Jr. United States Courthouse
400 North Miami Avenue

Room 11-4

Miami, Florida 33128

Dear Judge Seitz:

Seafarers' House is a 501c3 charity inside Port Everglades Florida. We are a proud member of a 200 year old tradition of missions that care for the backbone of the maritime industry, the men and women of the commercial shipping and cruise industry.

Seafarers' House and the work it does to provide a place of welcome for the maritime community and the workers in the port community depends upon the support of its philanthropic partners. Carnival Corporation has been a leading supporter of our work to provide a safe haven, a place of respite and refuge and practical assistance for over 150,000 seafarers annually – arriving in this port on both cruise and cargo vessels. In one of the busiest ports of the world – generating \$30 billion in economic power to our community – we are a key component in the baseline well-being of the human resources involved. We worship together, share tears, listen to stories, admire photos and deliver blessings. We christen ships and memorialize those we lose. We give direction, we educate, and we share the common bonds of human experience.

Our practical services include free transportation for seafarers throughout the port and into the local community, access to our international store, money remittance services and provision of local information. Our ship visitors board visiting ships of all types with gear and goodies that the seafarers have requested. At the holidays, we have a long-standing tradition of delivering gifts to every vessel that visits our port between Thanksgiving and mid-January with deliveries of Christmas shoeboxes for an average of 2000 seafarers each season. We are the heart of the port community and our work would not be possible without the support we receive from organizations within the maritime industry who understand and appreciate the value of our work.



May 31, 2019
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Our connection with the Carnival team starts at this basic level of knowing and caring for the front line staff that we see aboard ship and in our 'Casa.' We also have strong relationships with ship agents, human resources personnel in the various brands visiting our port and executives from the corporate offices who have offered resources and their own service to us as board members. Carnival has made a significant commitment to Port Everglades for years to come and our ability to continue to help not only cruise workers from Carnival vessels but also from other lines as well as all the cargo personnel we see, is tied to the continued operation of Carnival brands in our port.

Over a period of 23 years Carnival Corporation has been a valued philanthropic partner with Seafarers' House. With contributions that total over \$1 million they support both our day to day work and our Place of Welcome project to provide seafarers with a new Seafarers' House in Port Everglades. The \$4.5 million Place of Welcome has raised \$3.1 million with the help of partners like Carnival Corp. The company has also helped generate more than \$1 million in additional fundraising dollars through the donation of cruise certificates and hosting of shipboard lunches. Additionally, Carnival Corp. members have lent their time and talent to our mission both in a leadership capacity as board members and as volunteers for our annual Shoebox Christmas project.

Our story of partnership with Carnival is a positive one that has helped change many lives for the better and we look forward to being able to continue that partnership for years to come.

Sincerely

Lesley M. Warrick
Executive Director

*Our mission is "to offer refuge, resources, renewal and respect
to the maritime community through multi-faith service."*



Holland America Line
450 3rd Avenue W
Seattle, WA 98119

May 31, 2019

Dear Holland America Line,

The American Cancer Society is extremely grateful to Holland America Line for your support. Along with your passionate passengers, Holland America has raised awareness, celebrated survivors, and contributed nearly \$320,000 in 2018 through the On Deck for a Cause program. Accumulatively, since 2013, HAL has provided more than \$1,600,000 to the American Cancer Society for the fight against cancer.

Because of your support, the Society is helping even more people touched by cancer, including those who are currently dealing with a cancer diagnosis, those who will face a diagnosis in the future, and those who may avoid a diagnosis altogether because of education.

Thank you again for your efforts allowing the American Cancer Society to attack cancer from every angle.

Sincerely,

Becca McMillan
Senior Director, Regional Corporate Relations